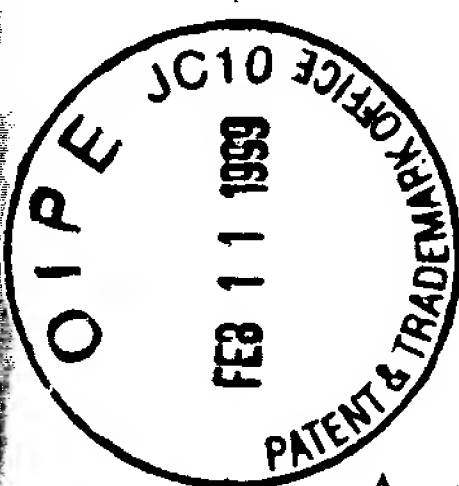


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Attorney Docket No. B0801/7117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Blumberg, Richard S.; Simister, Neil E. and Lencer, Wayne I.
Serial No. 09/122,144
Filed: July 24, 1998
For: RECEPTOR SPECIFIC TRANSEPITHELIAL TRANSPORT OF THERAPEUTICS
Examiner: unassigned
Art Unit: 1633

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the 9 day of February, 1999.


Edward R. Gates

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

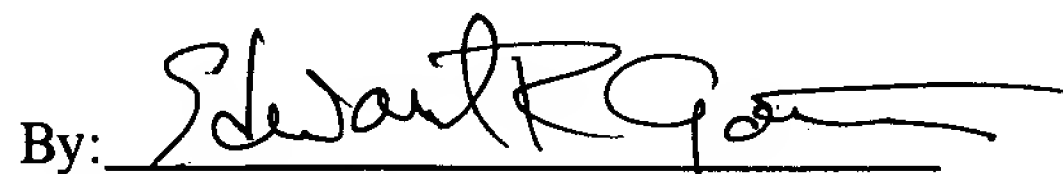
Sir:

Transmitted herewith are the following documents:

- ☒ Statement Filed Pursuant to the Duty of Disclosure Under 37 CFR §§1.56, 1.97 and 1.98
- ☒ 1449 Form with references attached
- ☒ Certificate of Mailing
- ☒ Return Receipt Postcard

Please charge any fees required to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 
Edward R. Gates
Registration No. 31,616
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617)720-3500

Docket No. B0801/7117 (ERG)
Dated: February 9, 1999
NDD

FEB 18 1999
GROUP 180



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J.E.
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Attorney Docket No. B0801/7117

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Edward R. Gates

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II -Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application: This application is a CIP of U.S. Serial No. 08/899,856, filed 7/24/97, which is a CIP of U.S. Serial No. 08/578,171, filed on 12/29/95, which is a CIP of U.S. Serial No. 08/374,159, filed on 1/17/95 (all pending).

PART IV: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

FEB 18 1999
GROUP 1

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

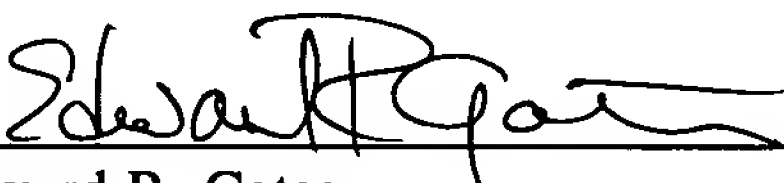
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By: 
Edward R. Gates
Registration No. 31,616
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617)720-3500

B0801/7117
February 9, 1999
XNDD